Clients are advised to re-verify & update with us the details of 6 KYC attributes viz. a.Nameb.Address, c. PAN d. Valid mobile number e. Valid email-id f. Income range/ details on or before 30.06.2022

- 1. Stock Brokers can accept securities as margin from clients only by way of pledge in the depository system w.e.f. September 1, 2020.
- 2. Update your mobile number & email Id with your stock broker/depository participant and receive OTP directly from depository on your email id and/or mobile number to create pledge.
- 3. Pay 20% upfront margin of the transaction value to trade in cash market segment.
- 4. Investors may please refer to the Exchange's Frequently Asked Questions (FAQs) issued vide circular reference NSE/INSP/45191 dated July 31, 2020 and NSE/INSP/45534 dated August 31, 2020 and other guidelines issued from time to time in this regard. Continuation Sheet
- 5. Check your Securities /MF/ Bonds in the consolidated account statement issued by NSDL/CDSL every month Filing Complaints on SCORES (SEBI) Easy & Quick
- a. Register on SCORES Portal (SEBI)
- b. Mandatory details for filing complaints on SCORES:
- i. Name, PAN, Address, Mobile Number, E-mail ID
- c. Benefits:
- i. Effective Communication
- ii. Speedy redressal of the grievances

https://scores.sebi.gov.in | https://investor.sebi.gov.in

- 6. Investment in Securities market are subject to market risks, read all the related documents carefully before investing. Brokerage will not exceed the SEBI prescribed limit.
- 7. Prevent Unauthorized Transactions in your Demat and/or Trading account- Update your Mobile Number with your Depository Participant and Stock Brokers. Receive alerts on your Registered Mobile/ Email ID for all debit and other important transactions in your account directly from CDSL/Exchanges at the end of the day.
- 8. Kindly note that as per NSE circulars No. NSE/INVG/36333 dated November 17, 2018, NSE/INVG/37765 dated May 15, 2018 and BSE circular No.- 20171117-18 dated November 17, 2018, 20180515-39 dated May 15, 2018, trading in securities in which unsolicited messages are being circulated is restricted. The list of such stocks are available on the website of NSE & BSE. Investors are advised not to blindly follow the unfounded rumours, Tips given in social networks, SMS, WhatsApp, Blogs etc. and invest only after conducting appropriate analysis of respective companies.

- 9. Investors may please refer to the Exchange's Frequently Asked Questions (FAQs)(issued vide circular reference -- for NSE NSE/INSP/45191 dated July 31, 2020 & NSE/INSP/45534 dated August 31, 2020 and for BSE issued vide notice no. 20200731-7 dated July 31, 2020 & 20200831-45 dated August 31, 2020 and other guidelines issued from time to time in this regard.
- 10. Check your Securities /MF/ Bonds in the consolidated account statement issued by NSDL/CDSL every month.
- 11. Please read the Risk Disclosure Document and Do's & Don'ts prescribed by the Exchanges carefully before investing.
- 12. KYC is one time exercise while dealing in securities markets once KYC is done through a SEBI registered intermediary (broker, DP, Mutual Fund etc.), you need not undergo the same process again when you approach another intermediary.
- 13. No need to issue cheque/s by investors while subscribing to IPO. Just write the bank account number and sign in the application form to authorize your bank to make payment in case of allotment. No worries for refund as the money remain in investor's account.

Disclaimer: Investment in the securities market is subject to market risks, read all the related documents carefully before investing. For detailed rules, regulations and policy, please refer

Important Links : CDSL E-voting | ODR Portal

cdsl e-voting link = https://evoting.cdslindia.com/Evoting/EvotingLogin

ODR Portal Link = https://smartodr.in/login