



Rajendra Modi Share Brokers Private Limited

Depository Participant - CDSL
DP SEBI REG. NO. IN-DP-CDSL-721-2014

Application form for opening a DEMAT ACCOUNT डीमेट खाता खोलने हेतु आवेदन फार्म

Client Name.....

Account No. : 12079600.....

Application No.....

CIN : U67120RJ2007PTC023636

Registered Office : 403-404, Green House, Ashok Marg, C-Scheme, Jaipur-302001

Work Office : 508, Green House, Ashok Marg, C-Scheme, Jaipur-302001

Phone : 0141 2369004-5-6-7, Fax : 0141-5115775

E-mail : rjndrmodi@yahoo.com • Website : www.rmsbplindia.com

Document requirement for individual A/c opening

- 1. Pan Card**
- 2. Address Proof**
- 3. Original Cancelled Cheque**
- 4. 2 Photo**

- All document proof must be same name even spelling also same.
- Account holder Name print on cancelled cheque other wise with cheque less than two month bank statement require with bank logo.
- All document requirement in original size (not in A/4 size).
- All document must be clear view.
- In person self verification compulsory.
- All document must self attested.
- All document paper submit in A/4 size paper.
- All document copy submit in separate A/4 size paper.
- Account opening form fill by Account holder.
- If Nominee name filled in form (Pan or Adhar number compulsory) Without Email Address extra charges.
- Your sign as on pan card do same other from & copy.

व्यक्तिगत खाता खोलने के लिए दस्तावेज की आवश्यकता

1. पैन कार्ड
2. पते का सबूत
3. मूल रद्द किया गया चेक
4. दो तस्वीर

- सभी दस्तावेजों प्रमाण में एक ही नाम होना चाहिए यहां तक की स्पेलिंग भी।
- रद्द किए गए चेक पर खाताधारक का नाम प्रिंट सहित अन्य वार।
- बैंक के साथ दो महिने से कम बैंक स्टेटमेंट की आवश्यकता होती है।
- सभी दस्तावेजों की मूल आकार में आवश्यकता
- सभी दस्तावेज स्पष्ट होने चाहिए।
- व्यक्तिगत सत्यपान अनिवार्य है।
- सभी दस्तावेजों को स्वयं स्थापित होना चाहिए।
- सभी दस्तावेज पेपर (ए4 आकार में जमा करें।)
- सभी दस्तावेजों कॉपी अलग-अलग ए4 आकार के पेपर में जमा करें।
- खाता खोलने वाले खाता धारक द्वारा खाता भरें
- यदि नामांकित व्यक्ति का नाम (पैन या आधार संख्या अनिवार्य है।) ई-मेल पते के बिना अतिरिक्त शुल्क
- पैन कार्ड में जो आपके हस्ताक्षर वही फार्म और अन्य में हो।



ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT FOR INDIVIDUALS

Annexure 2.1

Rajendra Modi Share Brokers Private Limited

Depository Participant - CDSL

508, Green House, Ashok Marg, C-Scheme, Jaipur-302001
Ph. : 2369004-5-6-7 Fax : 0141-5115775

PHOTOGRAPH

Depository Participant - ID : 12079600

SEBI Redg. No. : IN-DP-CDSL-721-2014

Please fill all the details in **BLOCK LETTERS** in English

Application No.

Date :

Account No.

1 2 0 7 9 6 0 0 0 0

I/We request you to open a Demat Account in my / our name as per the following details :

(To be filled by the Depository Participant)

Holders Details

Sole / First Holder's Name	PAN																		
	UID																		
Second Holder's Name	PAN																		
	UID																		
Third Holder's Name	PAN																		
	UID																		

Name *

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm Unregistered Trust, etc., should be mentioned above.

Type of Account (Please tick whichever is applicable)

Status	Sub-Status			
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident	<input type="checkbox"/> Individual Promoter	<input type="checkbox"/> Individual Director	<input type="checkbox"/> Minor
	<input type="checkbox"/> Individual Director Relative	<input type="checkbox"/> Individual Margin Trading A/c (MANTRA)	<input type="checkbox"/> Individual HUF / AOP	<input type="checkbox"/> Others (Specify)
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable	<input type="checkbox"/> NRI Repatriable Promoter	<input type="checkbox"/> NRI - Depository Receipts	
	<input type="checkbox"/> NRI Non - Repatriable	<input type="checkbox"/> NRI Non - Repatriable Promoter	<input type="checkbox"/> Others (Specify)	
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National-Depository Receipts	<input type="checkbox"/> Others (Specify)	

Details of Guardian (in case the account Holder is a Minor)

Guardian's Name	PAN																		
Relationship with applicant																			

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes') ☐ Yes ☐ No (Automatic Credit)
I/ We would like to instruct the DP to accept all the pledge instructions in my/ our account without any other further instruction ☐ Yes ☐ No
from my/ our end (If not marked, the default option would be 'No') I/We Wish to receive copy of AOF, Right & obligation ☐ Physical ☐ Electronic
I/ We would like to share the Email ID with the RTA ☐ Yes ☐ No I/ We would like to receive the Annual Report
Tick the applicable box. If not marked the default option would be in Physical ☐ Physical ☐ Electronic ☐ Both Physical and Electronic
Account Statement Requirement ☐ As per SEBI Regulation ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly

I / We request you to send Electronic Transaction-cum-Holding Statement at the Email ID ☐ Yes ☐ No

I/We wish to receive dividend / interest directly in to my bank account as given below through ECS.
(If not marked, the default option would be 'Yes') { ECS is mandatory for locations by SEBI from time to time} ☐ Yes ☐ No

Bank Details [Dividend Bank Details]

Bank Code (9-Digit MICR Code)																			
IFC Code (11 Character)																			
Bank A/c No.																			
Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Other (Specify)																		
Bank Name																			
Branch Name																			
Bank Branch Address																			
City	State															Country		Pin code	

(I) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued,(or) (ii) Photocopy of the bank Statement having name and address of the BO (iii) Photocopy of the Passbook having name and address of the BO , (or) (iv) Letter from the bank. Ø In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

Other Details

Gross Annual Income Details	Income Range per annum: <input type="checkbox"/> Up to Rs. 1,00,000 <input type="checkbox"/> Rs 1,00,000 to Rs 5,00,000 <input type="checkbox"/> Rs 5,00,000 to Rs 10,00,000 <input type="checkbox"/> Rs 10,00,000 to Rs 25,00,000 <input type="checkbox"/> More than Rs 25,00,000		
	Networth as on (Date) <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Rs.		
	[Networth should not be older than 1 year]		
Occupation	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Specify)		
Please tick, if applicable:	<input type="checkbox"/> Politically Exposed Person (PEP) Related to Politically Exposed Person (RPEP)		
Any other information:			
SMS Alert Facility Refer to Terms & Conditions given as Annexure- 2.4	MOBILE NO. +91_____ [(Mandatory , if you are giving Power of Attorney (POA)) (if POA is not granted & you do not wish to avail of these facilities, cancel this option)		<input type="checkbox"/> Yes <input type="checkbox"/> No
Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure-2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No
	Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID Optional
Easi	To register for easi, please visit our website www.cdslindia.com . Easi allows a Bo to view his ISIN balance, transactions and value of the portfolio online.		
Nomination Details	Nomination Registration no.		
	Dated		
I/We the Sole Holder / Joint Holder / Guardian (In case of Minor) hereby declare that : <input type="checkbox"/> I/We do not wish to nominate any one for this demat account. <input type="checkbox"/> I/We Nominate the following person who is entitled to receive security balances lying in my/our account, particulars where of are given below, in the event of my / our death.			
Nomination Details	Nominee 1	Nominee 2	Nominee 3
Nominee Name : *First Name :			
Middle Name			
*Last Name			

As the nominee is a minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holder, I/We appoint following person to act as **Guardian**:


Nomination Details	Nominee 1	Nominee 2	Nominee 3
*Address			
*City			
*State			
*Pin			
*Country			
Telephone No.			
FAX No.			
PAN No.UID			
Email ID			
Relationship with the BO			
Date of birth (mandatory if Nominee is a minor) dd-mm-yyyy			
Name of the Guardian of Nominee (if nominee is a minor) *First Name: Middle Name: *Last Name			
Address of the guardian of nominee:			
*City			
*State			
*Country			
*PIN			
Age			
Telephone			
Fax No.			
Email ID			
*Relationship of the Guardian with the Nominee			
*Percentage of allocation of securities			
*Residual Securities [please tick any one nominee. If tick not marked default will be first nominee]:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>Note : Residual securities: in case of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.</p> <p>* Marked is Mandatory field</p>			

Full Name of Guardian of Nominee			
Address			
City		State	
Country		PIN code	
Telephone No.		Fax No.	
E-Mail ID			
Relationship of Guardian with Nominee			

This Nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.
Note : Two witnesses shall attest signature(s) /thumb Impression(s)

Details of the Witness	First Witness
Name of Witness	
Address of Witness	
Signature of Witness	

I/We have received and read the "Rights and Obligations of the Beneficial Owner and Depository Participant" and terms & conditions & agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details/ particulars mentioned by me/us in this form. I/We further agree that any false/ misleading information given by me/ us or suppression of any material information will render my account liable for termination and suitable action.

	First / Sole Holder Guardian (In case of Minor)	Second Holder	Third Holder
Name			
Signature 			

FOR OFFICE USE ONLY

Identity of the applicant(s) is verified "In-Person"

1. Signature of verifying officer

2. Name of verifying officer :

3. Date.....

4. Place : JAIPUR

(Stamp of the DP)



ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT Annexure 2.2 **FOR NON-INDIVIDUALS**

Rajendra Modi Share Brokers Private Limited

Depository Participant - CDSL

508, Green House, Ashok Marg , C-Scheme, Jaipur-302001

Ph. : 2369004-5-6-7 Fax : 0141-5115775

PHOTOGRAPH

Depository Participant - ID : 12079600										SEBI Redg. No. : IN-DP-CDSL-721-2014									
DP Internal Reference No.										Application No.									
Please fill all the details in BLOCK LETTERS in English																			
Date :	D	D	M	M	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y
Account No.	1	2	0	7	9	6	0	0	0	0									

I/We request you to open a Demat Account in my / our name as per the following details : (To be filled by the Depository Participant)

Holders Details

Sole / First Holder's Name	PAN																		
	UID																		
Second Holder's Name	PAN																		
	UID																		
Third Holder's Name	PAN																		
	UID																		

Name *

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of natural persons, the name of the Firm, Association of Persons (AOP), Partnership Fir Unregistered Trust, etc., should be mentioned above.,

Type of Account (Please tick whichever is applicable)

Status										Sub – Status												
<input type="checkbox"/> Body Corporate <input type="checkbox"/> Banks <input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> OCB <input type="checkbox"/> FII <input type="checkbox"/> CM <input type="checkbox"/> FI <input type="checkbox"/> Clearing House <input type="checkbox"/> Other (Specify) _____										To be filled by the DP												
SEBI Registration No. (If Applicable)										SEBI Registration date					D	D	M	M	Y	Y	Y	Y
RBI Registration No. (If Applicable)										RBI Approval date					D	D	M	M	Y	Y	Y	Y
Nationality					<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify) _____																	

I / We instruct the DP to receive each and every credit in my / our account [Automatic Credit]
(If not marked, the default option would be 'Yes') ☐ Yes ☐ No

I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end ☐ Yes ☐ No
(If not marked, the default option would be 'No')

Account Statement Requirement ☐ As per SEBI Regulation ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly

I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID ☐ Yes ☐ No

I / We would like to share the email ID with the RTA ☐ Yes ☐ No

I / We would like to receive the Annual Report ☐ Physical / ☐ Electronic / ☐ Both Physical and Electronic
(Tick the applicable box. If not marked the default option would be Physical)


Clearing Member Details (To be filled by CMs only)

Name of Stock Exchange			
Name of CC / CH			
Clearing Member Id		Trading member ID	

I / We wish to receive dividend / interest directly in to my bank account given below through ECS (if not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time] ☐ Yes ☐ No

Bank Details [Dividend Bank Details]

Bank Code (9 digit MICR code)									
IFS Code (11 character)									
Account number									
Account type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify) _____								
Bank Name									
Branch Name									
Bank Branch Address									
City		State		Country		PIN code			

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
 (ii) Photocopy of the Bank Statement having name and address of the BO
 (iii) Photocopy of the Passbook having name and address of the BO, (or)
 (iv) Letter from the Bank.
-  In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

Other Details									
Gross Annual Income Details	Income Range per annum: <input type="checkbox"/> Up to Rs 1,00,000 <input type="checkbox"/> Rs 1,00,000 to Rs.5,00,000 <input type="checkbox"/> Rs.5,00,000 to Rs. 10,00,000 <input type="checkbox"/> Rs. 10,00,000 to Rs. 25,00,000 <input type="checkbox"/> Rs.25,00,000 to Rs. 1,00,00,000 <input type="checkbox"/> More than Rs.1,00,00,000								
	Net worth as on (Date) <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> Rs	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		
	<i>[Net worth should not be older than 1 year]</i>								

Please tick If any of the authorized signatories / Promoters / Partners / Karta / Trustees / Whole Time Directors is either Politically Exposed Person (PEP) or Related to Politically Exposed Person (RPEP) ☐ . Please provide details as per Annexure 2.2 A.

Any other information:	
------------------------	--

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	MOBILE NO. +91 _____ [(Mandatory , if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).														
Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure – 2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. <table border="1"> <tr> <td><input type="checkbox"/></td> <td>Yes</td> </tr> <tr> <td><input type="checkbox"/></td> <td>No</td> </tr> </table> I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST <table border="1"> <thead> <tr> <th>Stock Exchange Name/ID</th> <th>Clearing Member Name</th> <th>Clearing Member ID (Optional)</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> </tr> </tbody> </table>		<input type="checkbox"/>	Yes	<input type="checkbox"/>	No	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)						
<input type="checkbox"/>	Yes														
<input type="checkbox"/>	No														
Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)													
Easi	To register for Easi , please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.														

I/We have received and read the document of 'Rights and Obligation of BO-DP' (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	Sole / First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Designation			
Signature			

(In case of more authorised signatories, please add annexure)

(Signatures should be preferably in black ink).

===== (Please Tear Here) =====

Acknowledgement Receipt

Application No.:

Date:

We hereby acknowledge the receipt of the Account Opening Application Form:

Name of the Sole / First Holder	
Name of Second Holder	
Name of Third Holder	

Depository Participant Seal and Signature

===== (Please Tear Here) =====



Rajendra Modi Share Brokers Private Limited

CHARGE SCHEDULE FOR INDIVIDUAL AND CORPORATE ACCOUNTS

Name of Service	CHARGES		
	SCHEME - A <input type="checkbox"/>	LIFE TIME <input type="checkbox"/>	BSDA <input type="checkbox"/>
Annual Maintenance Charges	Rs. 225/- For 12 months	Rs. 899/- (One Time)	NIL
Receipt (Market & Off Market)	NIL	NIL	NIL
Delivery (Market)	Rs. 11/-	Rs.15/-	Rs.35/-
Delivery (Off- Market)	Rs. 20/-	Rs.25/-	Rs.35/-
Custody Charges	NIL	NIL	NIL
Account Modification	NIL	NIL	Rs. 10/-
Delivery Instruction Book	Rs. 10 (10 leaves)	Rs. 30 (10 leaves)	Rs. 50 (10 leaves)
Dematerialisation	Rs. 20 Plus Rs. 3.00 per certificates subject to Max. of Rs. 250/-		
Rematerialisation	Rs. 25/- per certificate on & above CDSL Charges		
Pledge Creation	0.03% of the value (Min Rs. 25/-)		
Pledge Closure	0.03% of the value (Min Rs. 25/-)		
Pledge Invocations	0.02% of the value (Min Rs. 25/-)		
Instruction failure Charges	Rs. 10/-		

- Delivery Instruction Book at the time of account opening will be given free of cost.
- Rajendra Modi Share Brokers Pvt. Ltd. reserves the right to revise the charge schedule by giving 30 days notice in writing.
- In case of corporate account an additional AMC of Rs. 500/- payable to CDSL will be collected.
- BSDA applicable only for eligible individuals.
- In case of non payment of bill dues within 15 days of due date interest shall be charged @ Rs. 1.5% per month on the outstanding dues or Rs. 25/- minimum whichever is higher.
- In case of Demat rejection postage shall be charged Rs. 30/- for dispatch to the client for removal of objection.
- Taxes and other government levies extra as applicable from time to time.

DP ID	1	2	0	7	9	6	0	0	CLIENT ID	0	0						
Name of the Sole / First Holder :																	
Name of Second joint Holder :																	
Name of Third joint Holder :																	

Kindly place my/our account under Scheme :

 Sign			
	(Sole/First Holder)	(Second Holder)	(Third Holder)

For Rajendra Modi Share Brokers Pvt. Ltd.

Authorised Signatory

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars /Notificatio- ns/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.

2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.

4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"

6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars /directions /notifications issued from time to time.

7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.

10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.

12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.

14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.

15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.

16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.

20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,

1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.

2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.

23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.

27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.

28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.

29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI

30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.

31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Signature 

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **Registration / modification** (Please cancel out what is not applicable).

BOID

1	2	0	7	9	6	0	0		0	0						
---	---	---	---	---	---	---	---	--	---	---	--	--	--	--	--	--

(Please write your 8 digit DPID)

(Please write your 8 digit Client ID)

Sole / First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which
messages are to be sent

+91													
-----	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of: _____

Email ID: _____

(Please write only ONE valid email ID on which communication; if any, is to be sent)



--

Signatures

Sole / First Holder

--

Second holder

--

Third Holder

Place: JAIPUR

Date: _____

Terms And Conditions for availing Transaction Using Secured Texting (TRUST) Service offered by CDSL

1. Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- I. "Depository" means Central Depository Services (India) Limited (CDSL)
 - II. TRUST means "Transactions Using Secured Texting" service offered by the Depository.
 - III. "Service Provider" means a cellular service provider(s) with whom the Depository has entered / shall enter into an arrangement for providing the TRUST service to the BO.
 - IV. "Service" means the service of providing facility to receive/give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would normally qualify for this type of service would be informed by CDSL from time to time.
 - V. "Third Party" means the operators with whom the Service Provider is having / will have an arrangement for providing SMS to the BO.
2. The service will be provided to the BO at his / her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time . . Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository
 3. The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the BOs. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever, including but not limited to the failure of the service provider or network.
 4. The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send/receive messages generated under TRUST. In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.
 5. The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by the BO. The DP / CDSL will not be held liable for acting on SMS so received.
 6. The BO shall be responsible for submitting response to the 'Responsive SMS' within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for BOs not submitting the response to the said SMS within the time limit prescribed by CDSL.
 7. The BO agrees that the signing of the TRUST registration form by all joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.
 8. The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART, the DP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.
 9. BOs are advised to check the status of their obligation from time to time and also advise the respective CMs to do so. In case of any issues, the BO/CM should approach their DPs to ensure that the obligation is fulfilled through any other mode of delivery of transactions as may be informed / made available by CDSL from time to time including submission of Delivery Instruction Slips to the DP .
 10. The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit.
 11. The BO further acknowledges that the BO/CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.
 12. The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.
 13. Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.
 14. The BO expressly authorises Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.
 15. The BO takes the responsibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other means such as electronic mail or written communication.
 16. The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was not originated by him.
 17. Indemnity:
In consideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.
 18. Disclaimer:

Depository shall be absolved of any liability in case:-

There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.

There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the quality of the service provided by any such service provider.

There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility.

I/We hereby declare that the aforesaid mobile number or E-mail ID belongs to me or my family (*spouse, dependent children and dependent parents*)

Mobile/Email ID Registered in the Name (Please tick (✓) whichever applicable)		Self	Spouse	Dependent Child	Dependent Parent
Mobile	<input type="checkbox"/> 1 st Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> 2 nd Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> 3 rd Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Email ID	<input type="checkbox"/> 1 st Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> 2 nd Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> 3 rd Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Name of 1st Account Holder : _____

Name of 2nd Account Holder : _____

Name of 3rd Account Holder : _____



Signature of 1st Account Holder

Signature of 2nd Account Holder

Signature of 3rd Account Holder



FATCA & CRS Declaration - Individual

PAN

Name

Place of Birth Country of Birth

Nationality

Annual Income ☐ Upto Rs. 1 Lac ☐ Rs. 1 Lac to 5 Lac ☐ Rs. 5 Lac to 10 Lac
☐ Rs. 10 Lac to 25 Lac ☐ More than Rs. 25 Lac

Net Worth Amount Rs..... Net Worth as on
(Net worth should not be older than 1 year)

Occupational ☐ Business ☐ Private Sector ☐ Professional ☐ Government Service ☐ Public Sector
Detail ☐ Agriculturist ☐ Housewife ☐ Student ☐ Retired ☐ Forex Dealer ☐ Others Pl. Specify

Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP) ☐

Are you a tax resident of any country other than India ☐ Yes ☐ No


If yes please indicate the all countries in which you are resident or tax purpose and the associated Tax ID number Below.

Sr. No.	Country	Tax Identification Number	Identification Type (TIN or Other , please Specify)
1.			
2.			
3.			

DECLARATION

I have read and understood the information requirements and the Terms & Conditions mentioned in the Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Rajendra Modi Share Brokers Pvt. Ltd. for any modification to this information promptly.

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of information (AEOI).

Signature: (I) 

Date :

Place : JAIPUR

OPTION FORM FOR ISSUE OF DIS BOOKLET

Annexure 2.5

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

DP ID	1	2	0	7	9	6	0	0	CLIENT ID	0	0						
--------------	----------	----------	----------	----------	----------	----------	----------	----------	------------------	----------	----------	--	--	--	--	--	--

First Holder Name	
Second Holder Name	
Third Holder Name	

To,

Maverick Share Brokers Private Limited

313, Laxmi Complex, M.I. Road, Jaipur-302 001

Ph. : 0141-4919115, 4919116, 4919117

Dear Sir / Madam,


I / We hereby state that:

[Select one of the options given below]

I/ We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I / we have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with _____ (name of the attorney / Clearing Member / PMS manager) for executing delivery Instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager

Yours faithfully

OPTION 1:

	First / Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signature 			



Name | | | | | | | | | | | | | | | | | | | | | |

I. Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No

Sr. No.	Country	Tax Identification Number	Identification Type (TIN or Other , please specify)
1.			
2.			

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

<p>I. We are a, Financial institution <input type="checkbox"/> (Refer I of Part C) or Direct reporting NFE <input type="checkbox"/> (Refer 3(vii) of Part C) (please tick as appropriate)</p>	<p>GIIN <input type="text"/></p> <p>Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below</p> <p>Name of sponsoring entity _____</p> <p>_____</p>
<p>GIIN not available (please tick as applicable)</p>	<p><input type="checkbox"/> Applied for <input type="checkbox"/> Not obtained – Non-participating FI</p> <p><input type="checkbox"/> Not required to apply for - please specify 2 digits sub-category <input type="text"/> (Refer I A of Part C)</p>

1.	Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) (Refer 2a of Part C)	Yes <input type="checkbox"/> (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange_____
2.	Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) (Refer 2b of Part C)	Yes <input type="checkbox"/> (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of listed company_____ Nature of relation: <input type="checkbox"/> Subsidiary of the Listed Company or <input type="checkbox"/> Controlled by a Listed Company Name of stock exchange_____
3.	Is the Entity an active NFE (Refer 2c of Part C)	Yes <input type="checkbox"/> Nature of Business_____ Please specify the sub-category of Active NFE <input type="text"/> (Mention code – refer 2c of Part C)
4.	Is the Entity a passiveNFE (Refer 3(ii) of Part C)	Yes <input type="checkbox"/> Nature of Business_____

Category (Please tick applicable category):

<input type="checkbox"/> Unincorporated association / body of individuals	<input type="checkbox"/> Unlisted Company	<input type="checkbox"/> Partnership Firm	<input type="checkbox"/> Limited Liability Partnership Company
<input type="checkbox"/> Others (please specify _____)	<input type="checkbox"/> Public Charitable Trust	<input type="checkbox"/> Religious Trust	<input type="checkbox"/> Private Trust

Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)

Details	UBO1	UBO2	UBO3
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of Tax residency*			
PAN #			
Address	Zip <input type="text"/> State: _____ Country: _____	Zip <input type="text"/> State: _____ Country: _____	Zip <input type="text"/> State: _____ Country: _____
Address Type	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office
Tax ID %			
Tax ID Type			
City of Birth			
Country of birth			
Occupation Type	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____
Nationality			
Father's Name			
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
Date of Birth	DD/MM/YYYY	DD/MM/YYYY	DD/MM/YYYY
Percentage of Holding (%) [§]			

* To include US, where controlling person is a US citizen or green card holder

[†] If UBO is KYC compliant, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position / Designation like Director / Settlor of Trust / Protector of Trust to be specified wherever applicable.


^{**} In case Tax Identification Number is not available, kindly provide functional equivalent

[§] Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary

DECLARATION

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Rajendra Modi Share Brokers Pvt. Ltd. for any modification to this information promptly. I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

Name	
Designation	

Sign here : (I) 

Date :

Place :

For Investor convenience, Rajendra Modi Share Brokers Pvt. Ltd.(RMSBPL) collecting this mandatory information for updating across all Group Companies of RMSBPL whether you are already an investor or would become an investor in future.

Please submit the form fully filled, signed, for all the holders, separately, and submit at your nearest RMSBPL branch or you can dispatch the hard copy to-

Rajendra Modi Share Brokers Private Limited

Registered Office : 403-404, Green House, Ashok Marg, C-Scheme, Jaipur-302001

Work Office : 508, Green House, Ashok Marg, C-Scheme, Jaipur-302001

• For Detail Terms & Conditions please visit www.rmsbplindia.com



Rajendra Modi Share Brokers Private Limited

Registered Office : 403-404, Green House, Ashok Marg,
C-Scheme, Jaipur-302001 Ph. : 2369004, 5, 6, 7 Fax : 0141-5115775,
Work Office : 508, Green House, Ashok Marg, C-Scheme, Jaipur-302001
Web Site : www.rmsbplindia.com E-mail : rjndrmodi@yahoo.com

I/We hereby acknowledge the receipt of duly executed copy of Account Opening Form, Rights and Obligations, RDD and all other documents as executed by me/us. I/We acknowledge that the other details related to my/our account are as under:

DP ID _____

BO ID _____

My E-mail id _____



(Client Signature)_____



Rajendra Modi Share Brokers Private Limited

Registered Office : 403-404, Green House, Ashok Marg,
C-Scheme, Jaipur-302001 Ph. : 2369004, 5, 6, 7 Fax : 0141-5115775,
Work Office : 508, Green House, Ashok Marg, C-Scheme, Jaipur-302001
Web Site : www.rmsbplindia.com E-mail : rjndrmodi@yahoo.com

I/We hereby acknowledge the receipt of duly executed copy of Account Opening Form, Rights and Obligations, RDD and all other documents as executed by me/us. I/We acknowledge that the other details related to my/our account are as under:

DP ID _____

BO ID _____

My E-mail id _____



(Client Signature)_____

SIMPLE DOs and DON'Ts

Verify your transaction statement carefully for all debits and credits in your account. In case of any unauthorized debit or credit, inform your DP or CDSL.

- Intimate any change of address or change in bank account details to your DP immediately.
- While accepting the Delivery Instruction Slip (DIS) book from your DP, ensure that your BO ID is prestamped on all the pages along with the serial numbers.
- Keep your DIS book safely and do not sign or issue blank or incomplete DIS slips.
- Strike out the empty space, if any, in the DIS, before submitting to DP.
- For market transactions, submit the DIS ahead of the deadline time. DIS can be issued with a future execution date.
- The demat account has a nomination facility and it is advisable to appoint a nominee to facilitate your heirs in obtaining the securities in your demat account, on completion of the necessary procedures.
- To open and operate your demat account, copy of PAN card of all account holders is to be submitted to the DP along with original PAN card, for verification.
- Register for CDSL's SMART (**SMS Alerts Related to Transactions**) facility. If any unauthorized debit is noticed, the BO should immediately inform CDSL and the Main DP, in writing. An email may be sent to CDSL at complaints@cdslindia.com
- Register for CDSL's Internet based facility "easi" to monitor your demat account yourself. Contact your DP or visit CDSL's website: www.cdslindia.com for details.
- In order to receive all the credits coming to your demat account automatically, you can give a onetime, standing instruction to your DP.
- Before granting Power of Attorney to anyone, to operate your demat account, carefully examine the scope and implications of powers being granted.

OUR SERVICES

- Equities
- Derivatives
- Commodities
- Online Trading
- DP Services
- Research



Rajendra Modi Share Brokers Private Limited

Member of NSE, BSE, MCX & DP-CDSL

CIN : U67120RJ2007PTC023636

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SARAL

ACCOUNT OPENING FORM FOR RESIDENT INDIVIDUALS TRADING/DEMAT ACCOUNT IN CASH SEGMENT

I KYC - Please fill this form in BLOCK LETTERS.

A. IDENTITY DETAILS

1. Name of the Applicant: _____
2. Father's/ Spouse Name: _____
3. a. Gender: Male/ Female b. Marital status: Single/ Married c. Date of birth: ____ (dd/mm/yyyy)
4. Nationality: _____
5. a. PAN: _____ b. Aadhaar Number, if any: _____
6. Specify the proof of Identity submitted: _____

PHOTOGRAPH

Please affix your recent passport size photograph and sign across it

B. ADDRESS DETAILS

1. Residence/ Correspondence Address: _____ City/town/village: _____
Pin Code: _____ State: _____ Country: _____
2. Contact Details: Tel. (Off.) _____ Tel. (Res.) _____ Mobile No.: _____ Fax: _____ Email id: _____
3. Permanent Address (if different from above address): _____
City/town/village: _____ Pin Code: _____ State: _____ Country: _____
4. Specify the proof of address submitted for residence/correspondence /permanent address: _____

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

Signature of the Applicant Date: ____ (dd/mm/yyyy)

☐ Originals verified and Self-Attested Document copies received (.....)

Name & Signature of the Authorised Signatory
Seal/Stamp of the intermediary

Date

II OTHER DETAILS:

1. Bank account details:

Bank Name	Branch address	Bank account no.	Account Type: Saving/Current/	MICR Number	IFSC code

2. Demat account details: (In case the client does not have DP account, this column may be crossed)

DP name	NSDL/CDSL	Beneficiary name	DP ID	BO ID

3. Whether DP account is also to be opened with the same intermediary (Yes/No)

4. Trading Preferences: Please sign the relevant boxes where you wish to trade.

Exchange	Sign	Exchange	Sign	Exchange	Sign
NSE		BSE		MCX-SX	

5. Mode of receiving Contract Note/ Statement of Account: Physical / Electronic (Please indicate your preference).....

6. Standing instructions to receive credits automatically into my BO account (Yes/No)

7. Nomination details (Name, PAN, Address and Phone no. of nominee); relationship with the nominee (If nominee is a minor, details of Guardian like name, address, phone no. and signature of Guardian may be obtained)

I have understood the contents of policy and procedures document, tariff sheet, 'Rights and Obligations' document and 'Risk Disclosure Document'. I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for information on stock broker's designated website.

Signature of the Applicant Date: ____ (dd/mm/yyyy)

Internal

FOR OFFICE USE ONLY

UCC Code allotted to the Client: -----

DP name	NSDL/CDSL	Beneficiary name	DP ID	BO ID

	Documents verified with Originals	Client By	Interviewed	In-Person Verification done by
Name of the Employee				
Employee Code				
Designation of the employee				
Date				
Signature				

I / We undertake that I/we have made the client aware of 'Policy and Procedures', tariff sheet. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

If the client chooses to avail the demat facility from the same stock broker who is also a depository participant, the stock broker may use the same form and provide the details of the demat account opened for the said client to the client while providing a copy of the KYC documents.

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Signature of the Authorised Signatory

Date

Seal/Stamp of the stock broker

NOTE: This form is applicable for individual investors trading in the cash segment. If such investors wish to trade in segments other than cash segment and / or wish to avail facilities such as internet trading, running account, margin trading, Power of Attorney etc., they may furnish additional details required as per prescribed regulations to the concerned intermediary.

Internal